# **GENAIR: GENERATIVE AI FOR RESILIENT URBAN AIR MOBILITY WITH VTOLS IN DISASTER EVACUATION**

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# **ABSTRACT**

Coastal regions face growing threats, making timely and safe evacuation paramount. Current plans rely heavily on congested ground transportation, leading to delays and heightened stress levels. The emerging field of Urban Air Mobility (UAM), utilizing Vertical Take-Off and Landing Vehicles (VTOLs), promises to alleviate these issues by providing solutions for quick evacuation strategies. Here, we aim to leverage Generative Adversarial Networks (GANs) to expand limited datasets with synthetic data specific to disaster scenarios, evacuation routes, airspace considerations, and the impact of real-time weather events, enabling robust simulation of UAM deployment in disaster evacuations. We identified two applicable scenarios: i) UAM for Extreme Weather Emergency Evacuation and ii) Hospital Evacuations using VTOLs as use cases and illustrated their impact. This research seeks to pave the way for optimized, data-driven evacuation planning with UAM and VTOLs, ultimately enhancing the safety and efficiency of evacuations in the face of extreme events.

## **1 INTRODUCTION**

Sea, ground, underground, and air are humankind's three primary transport modes. While Ground transportation remains the dominant mode for short to medium distances, it often faces gridlock and struggles during emergencies. While air travel is the best alternative, it is primarily occupied by commercial airlines, military aircraft, and specialized helicopters. Urban Air Mobility (UAM), an emerging field that is gaining momentum in recent years, proposes simpler air vehicles, including Vertical Take-Off and Landing Vehicles (VTOLs), for the general public to revolutionize urban transportation (Cohen et al. 2021). This research aims to evaluate the effectiveness of artificial intelligence (AI) models to assist decision making of VTOLs dispatch strategies for hospital evacuation in extreme weather emergency scenarios.

The rising frequency and severity of natural disasters, particularly hurricanes (Pielke Jr 2005), underscores the critical need for robust and adaptable evacuation protocols. In densely populated urban environments, reliance on conventional ground transportation during emergencies often proves inadequate due to congestion and compromised infrastructure. Coastal cities, particularly vulnerable to flooding, face significant challenges with ground-based evacuation inefficiencies. VTOLs present a superior alternative, addressing the limitations of slow dispatch, navigational hazards, and inadequate onboard medical care typically associated with Inflatable Rescue Boats (IRBs). Implementing VTOL technology in these regions accelerates evacuations, enhances safety, and ensures the continuation of essential medical services during transport. Consequently, VTOLs significantly improve disaster response efforts in flood-prone coastal areas.

Traditional evacuation plans exhibit an overreliance on ground-based transportation, resulting in bottlenecks and delays that critically hinder hospital evacuations during disasters. VTOLs offer an attractive alternative by bypassing crowded roads and offering fast escape routes, especially during floods or severe weather conditions. This inherent adaptability renders VTOLs invaluable for hospitals and emergency response personnel, facilitating access to areas isolated by disruptions to ground-based transport. However,

implementing Urban Air Mobility (UAM) for disaster evacuations is hindered by a lack of historical data that includes information on disaster scenarios, efficient evacuation routes, and concerns about managing airspace. The lack of this extensive information poses a significant obstacle to effectively deploying VTOLs for emergency evacuation purposes. In response to these challenges, our research contributes by developing an Extreme Weather Emergency Evacuation (EWEE) framework for hospital patients using VTOLs. We employ generative AI models to create and expand limited datasets, facilitating realistic simulations of UAM deployment in emergency scenarios. Additionally, we utilize a reinforcement learning approach to ascertain the optimal number of VTOLs needed, which enhances adaptability to environmental changes and reduces the cost of scenario testing. Our findings also demonstrate the transformative potential of UAM in emergency evacuations, showcasing practical applications of VTOLs.

## **1.1 UAM for Extreme Weather Emergency Evacuation**

Climate change is causing coastal cities to face a heightened risk of street flooding, potentially rendering ground transportation inoperable (Helderop and Grubesic 2019). Ensuring residents' safe and timely evacuation, particularly vulnerable hospital patients, presents a critical and daunting challenge for emergency responders. Current evacuation plans, heavily reliant on ground transportation, often prove inadequate in extreme circumstances. For example, improper planning and coordination of automobiles and transit-dependent residents during Hurricane Rita, which hit the Louisiana and Texas coasts in 2005, resulted in severe highway traffic congestion (Litman 2006). Therefore, there is an urgent need for innovative solutions that can overcome the limitations of traditional evacuation methods using efficient alternatives to conventional ground transport.

Urban Air Mobility (UAM) is defined as an aerial transportation system that utilizes piloted and autonomous aircraft, such as VTOLs, to move passengers and cargo within urban areas (Reiche et al. 2019). This focus on smaller, autonomous VTOLs within UAM offers a compelling alternative to traditional helicopters for emergency evacuation. VTOLs require minimal infrastructure investment in evacuation facilities like hospitals, and their maneuverability allows them to operate safely and efficiently in dynamic, unstructured environments (Kramar et al. 2021). Additionally, VTOLs can potentially function with minimal human supervision, further enhancing evacuation efficiency. Despite their great potential, extreme weather can also impact the safety, cost, and efficiency of UAM operations (Reiche et al. 2019). Therefore, implementing a UAM strategy for extreme weather emergency evacuation (EWEE) scenarios requires careful analysis of the safety and efficiency impact of operating VTOLs in such extreme conditions, with different approaches and standards tailored to the specific characteristics of various extreme weather conditions and their possible impacts.

# **1.2 Hospital Evacuations using VTOLs**

Urban Air Mobility (UAM), combining piloted and increasingly autonomous aircraft (VTOLs), promises to revolutionize urban transportation. This focus on smaller, autonomous VTOLs within UAM offers a compelling alternative to traditional helicopters for emergency evacuation. Current hospital evacuation plans mandated by counties regulations primarily rely on ground transportation, leading to significant disaster-related challenges (Mace and Sharma 2020). Congested roads become overwhelmed as ambulances and evacuation vans carrying both critical and less critical patients compete with the evacuation of residents. This gridlock creates delays, hinders timely evacuation, and contributes to heightened panic among civilians, especially those with compromised health conditions. While certain standards mandate helipads for trauma centers (Floridahealth.gov 2010), this option is unavailable to hospitals lacking dedicated helipads, limiting air evacuation strategies. VTOLs offer the potential to significantly reduce patient transport times and alleviate road congestion during disaster evacuations without major infrastructure investment or modification. Figure 1 provides an overview of our proposed extreme weather emergency evacuation (EWEE) for hospital patients using Vertical Take-Off and Landing Vehicles (VTOLs).

To effectively evaluate our proposed EWEE concept for hospital patients, we must conduct simulations of VTOL evacuations under various disaster scenarios. However, the lack of comprehensive historical data on UAM implementation in disaster planning limits the effectiveness of current simulations. Exise ting data does not sufficiently cover disaster scenarios, evacuation routes, airspace dynamics, and real-time weather events in the context of UAM and VTOLs.



Figure 1: An overview of our proposed extreme weather emergency evacuation (EWEE) for hospital patients using Vertical Take-Off and Landing Vehicles (VTOLs).

To address this, we generate new data and expand limited datasets to improve our flood risk prediction model using Generative Adversarial Networks (GANs). Additionally, integrating Geographic Information Systems (GIS) with GANs in the future will allow for the creation of spatially informed synthetic data, enhancing the realism and geographic relevance of simulations. The rest of the paper is structured as follows: Section 2 discusses previous studies on disaster evacuations of hospital patients, UAM's role in natural disasters as an emerging field, and the complexities and challenges of real-time monitoring of flood data. Section 3 provides a detailed background on the GenAir framework, our methodology, and implementation. Section 4 evaluates various VTOL deployment scenarios to determine the optimal number of VTOLs. Section 5 concludes the paper and outlines future research directions.

# **2 RELATED WORK**

Evidence indicates that climate change and rising population contribute to increased natural disasters, particularly hydrometeorological events (Fergusson and Boden 2014). The exacerbation of this trend is closely linked to anthropogenic greenhouse gas emissions, emphasizing the urgent need for effective climate mitigation and adaptation strategies to minimize disaster risks (López et al. 2018). In response to the challenges posed by extreme weather events, Urban Air Mobility (UAM) emerges as a transformative solution for transportation, logistics, security surveillance, and emergency evacuation (Gillis et al. 2021). Notable among UAM technologies are Vertical Take-Off and Landing Vehicles (VTOLs), which may be piloted or autonomous (Straubinger et al. 2020) and offer potential benefits across multiple sectors, including enhanced logistics, ridesharing convenience, and improved emergency response by reducing dependency on ground transportation (Cohen et al. 2021). Despite limitations imposed by severe weather conditions such as tornadoes and hurricanes (Reiche et al. 2019), strategic deployment of VTOLs before and after disasters could significantly bolster emergency operations, expedite evacuations, and ensure the safety of vulnerable populations, including hospital patients.

Coastal cities, particularly vulnerable to flooding, face significant challenges with ground-based evacuation inefficiencies. While Inflatable Rescue Boats (IRBs) are recognized as a versatile option for emergency evacuations (Barcala-Furelos et al. 2023), their deployment is often hampered by slow response

times, vulnerability to debris, and the lack of onboard medical facilities. As a result, we propose VTOLs as an effective alternative. VTOLs leverage airspace to facilitate rapid and efficient evacuations, a critical advantage when rescuing vulnerable groups such as hospital patients who require immediate medical attention. This approach effectively circumvents the logistical challenges inherent in traditional and inflatable boat evacuations.

### **2.1 Hospital Patients Evacuation in Floods**

Hospital evacuations during extreme weather are critical to the public, government, and city planners. Kaliamoorthy et al. (2016) and Cocanour et al. (2002) emphasized the importance of careful planning, effective communication, and coordination with outside facilities. Iwasaki et al. (2019) and Khorram-Manesh et al. (2013) underscored the need for early preparation, regional evacuation plans, and continuous improvement in planning, leadership, and communication. Shi et al. (2023) identifies flood-prone regions using the DBHYDRO database of collected meteorological sensors data using deep learning. Chen et al. (2015) proposed modeling and simulation as tools for improving evacuation processes. Xu et al. (2014) and Celik and Son (2012) advanced multi-fidelity simulation optimization methods for various applications. Bernard and Mathews (2008) highlighted the challenges and creative solutions in evacuating a maternalnewborn area during Hurricane Katrina.

While several studies have mainly focused on the managerial and strategic planning of hospital evacuation during extreme weather conditions, little attention has been given to innovative solutions utilizing different modes of transporting patients to their evacuation points while using real-time data provided by various sensors and connected systems, as proposed in our GenAir framework. For example, many studies have explored the challenges and potential solutions for hospital patient evacuation. Rega et al. (2010) emphasize the need for strategic and tactical guidelines. Manion and Golden (2004) highlight the challenges of evacuating Intensive Care Unit (ICU) patients and Gildea and Etengoff (2005) report a successful simulation of critically ill patients. Gray et al. (2020) and Davis et al. (2017) focus on training and protocol development, with Gray et al. (2020) finding virtual simulation to be effective for nurse-led patient evacuation and Davis et al. (2017) developing an evacuation protocol for patients with ventricular assist devices. Saint Martin et al. (2020) provide real-world examples and future considerations, highlighting the potential of virtual reality simulations for hospital fire evacuation.

Furthermore, many researchers have explored various aspects of hospital patient evacuation, including the performance of trained staff using movement assist devices (Hunt et al. 2015), patient-driven resource planning (Petinaux and Yadav 2013), and the role of the Department of Defense patient movement system in disaster aeromedical evacuation (Lezama et al. 2011). The potential use of Urban Air Mobility (UAM) in this context is supported by studies on the use of Unmanned Aerial Vehicles (UAVs) for prehospital combat casualty evacuation (Maddry et al. 2021) and the cost-effective use of medical air evacuation personnel (Gurland et al. 1995). While the specific application of UAM in hospital patient evacuation has not been extensively addressed in the literature, a few studies have explored air evacuation using helicopters or VTOL aircraft for different disaster evacuation scenarios. Ultimately, we intend to integrate GIS, meteorological sensor data and secured Healthcare Information Systems (HIS) to coordinate the deployment of appropriate evacuation vehicles. This system will inform the utilization of hospital beds and specific patient needs, ensuring that VTOLs are adequately supplied and directed to optimal evacuation points. Furthermore, we employ machine learning models to proactively monitor conditions and autonomously decide on the best times and routes for dispatching VTOLs, enhancing the efficiency and effectiveness of emergency responses

## **2.2 UAM in Natural Disasters**

A range of studies have explored the use of helicopters in flood disaster rescue operations, focusing on scheduling, simulation, and evaluation. Xue et al. (2022) proposed mathematical models and procedures to optimize helicopter use, considering factors such as mission demand points, resettlement points, and last-

mile delivery. Zafar et al. (2021) have extended this work by proposing a distributed framework for autonomous drones, which can be used with helicopters to increase task completion rates. Hao et al. (2022) have developed a visual emergency rescue system to enhance the safety and efficiency of helicopter rescue operations. Fang et al. (2019) have contributed a simulated annealing algorithm and coordination model for the configuration and scheduling of aerial disaster response systems, while Li et al. (2022) focused on the modular design of aviation rescue equipment and the optimization of helicopter path planning. These studies collectively provide valuable insights and tools for improving the effectiveness of helicopter rescue operations in flood disasters.

While helicopters are viable for ground evacuation alternatives, they have significant limitations. Helicopters necessitate specific infrastructure for take-off and landing, including ample clearance for blade rotation. Additionally, their operation requires specialized training and licensing. In contrast, Vertical Take-Off and Landing Vehicles (VTOLs) demand less infrastructural investment and can be operated autonomously, streamlining the dispatch process and reducing regulatory burdens related to licensing. VTOLs can also be solar-powered, particularly effective in extreme flooding conditions where traditional fuel supplies may be compromised. Furthermore, the autonomous operation of VTOLs enables the coordination of multiple vehicles, optimizing evacuation planning and execution with minimal human intervention. Therefore, human resources are released to support other critical aspects of the evacuation process.

Moreover, using Vertical Take Off and Landing (VTOL) aircraft in natural disasters presents opportunities and challenges. Doo (2022) highlights the potential for these aircraft in rapid response and relief supply transportation but also identifies key issues such as vehicle development, autonomous operations, and charging system compatibility. Van Gent et al. (2020) discuss the development of early warning systems and observational products to enhance aviation resilience to natural hazards. However, safety concerns are also raised, with Thompson et al. (2022) discussing the need for robust safety systems and hazard identification in electric Vertical Take-off and Landing (eVTOL) and advanced air mobility operations.



#### **3 METHODOLOGY**

Figure 2: Overview of the proposed GenAir framework.

The envisioned GenAir framework will ultimately integrate multiple systems and datasets to facilitate the emergency deployment of VTOLs to evacuate hospital patients (see Figure 2). This framework will initiate with Geographic Information Systems (GIS) data, meteorological sensors, and hospital patient information. Initially, GIS and meteorological data will be used to predict potential flooding events, triggering an emergency response. Subsequently, patient data will be analyzed to help formulate a comprehensive evacuation plan. In the current stage of this new study, the framework schedules VTOL departures from an

emergency vertiport to transport patients to pre-determined safe inland hospitals and optimizes VTOL scheduling by evaluating the impact of different evacuation speeds and the proportion of patients evacuated on overall emergency response effectiveness. In the future, departure schedules will be guided by updated GIS and meteorological insights. Additionally, the framework will account for the care capacity of receiving hospitals, ensuring patient needs are matched with available resources.

### **3.1 Proposed GenAir Framework Implementation**

This research uses a reinforcement learning approach to determine the optimal number of Vertical Take-Off and Landing Vehicles (VTOLs) required to evacuate hospitals where synthetic experiments are conducted on an arbitrarily selected hospital in Florida. Unlike traditional optimization methods that depend on accurate models—which can be costly and inflexible—reinforcement learning operates within an environment, allowing actions and strategies to adapt based on feedback without needing a predefined model. This approach enhances adaptability when environmental conditions change and reduces the costs associated with scenario testing.

The framework employs a model-free, off-policy reinforcement algorithm with a discrete action space Double Deep Q Network (DDQN) (Van Hasselt et al., 2016). DDQN mitigates the overestimation bias by incorporating two neural networks, a target network and a policy network. Actions are chosen via a "greedy" policy, with experiences stored in a replay buffer that informs policy updates through a decay schedule. This method processes batches of experiences to refine the policy network continuously, with the network's weights updated periodically to stabilize learning outcomes. The reinforcement learning environment is configured with discrete actions representing a continuous state space.



Figure 3: An illustration of DDQN (Van Hasselt et al., 2016) implementation in the GenAir framework.

The DDQN algorithm follows a systematic approach, illustrated in Figure 3, starting with initialization and extending through action selection, execution, experience storage, mini-batch sampling, Q-value computation, loss calculation, network updating, and target network synchronization. The process begins with the initialization of the environment and neural networks. Two distinct networks are established: the Main Q-Network and the Target Q-Network. The Main Q-Network is responsible for action selection and updating Q-values, while the Target Q-Network provides stable target Q-values during learning updates. Additionally, a replay memory buffer is initialized to store experience tuples, each comprising the current state  $s_t$ , the action taken  $a_t$ , the reward received  $r_t$  and the subsequent state  $s_{t+1}$ .

In this model, a timestep is initiated whenever the agent begins a discrete action  $a_t$ , serving as a measure of action sequences rather than time. The number of timesteps provides context for how quickly the model converges. A large number of timesteps is typically required as the model's complexity increases. The model is considered adequately trained when convergence occurs after executing enough timesteps in the training process. At each timestep, the agent observes nine patients, each with six features including the patient identification number e, which contains encrypted information about the patient's health condition and care requirements, discretized wait time of patient  $e$  at timestep  $t$  since evacuation was triggered  $w_e^t$ , and origin-to-destination distances  $d_{ij}$  where *i* is the evacuated hospital index and *j* is the evacuation point index, mapped to an encoded vector representing the evacuation points' locations. The simulation penalizes delays beyond a 20-minute wait time, simulating the urgency of evacuation, and rewards successful patient transfers to one of four pre-determined inland hospitals. The model was iteratively tested over one million timesteps until convergence and results were obtained.

Furthermore, at each state  $s_t$ , the DDQN agent takes an action  $a_t$  corresponding to picking one of the nine passengers observed in the environment that require immediate evacuation and decides on the evacuation point for drop-off. If the wait time exceeds the maximum allowed for a patient evacuation  $W^{max}$ , the patient is dropped from the observation space, and the DDQN agent is penalized. Thus, a balance between the criticality of the evacuated patient's health conditions and the maximum wait time constraint must be achieved for optimal evacuation performance.

An evacuation is considered a positive return when a successful evacuation occurs and negative when a patient is dropped due to the maximum wait time constraint, as defined by Equation (1), where  $v_e$  is an evacuation value measure of the evacuated patient based on the criticality of their health condition as indicated by  $e$ . At this preliminary stage of our study, we consider a fixed value for  $v_e$  across all observed patients at each timestep.

$$
r_{ev} = \pm d_{ij} \times v_e \tag{1}
$$

$$
C_{trip} = C_{ev} + C_{lost} \tag{2}
$$

We consider operation costs of VTOLs to determine the optimal number to dispatch using Equation (2). The cost of an evacuation trip considers both cases in Equations (3-4): when a successful evacuation occurs and when a patient is dropped from the environment. Here,  $C_{ev}$  corresponds to the operation cost of a VTOL when a successful evacuation occurs and  $C_{lost}$  is the cost to return from the last evacuation point (EP) to the emergency response vertiport (ERV) when a patient is dropped from the environment due to exceeding  $W^{max}$ , respectively. In Equation (3-4),  $uc_{vtol}$  is unit cost per distance traveled to operate a VTOL and  $d_{0k}$  is the distance from the last EP visited and the ERV. Finally, the reward function is given by Equation (5).

$$
C_{ev} = d_{ij} \times u c_{vtol} \tag{3}
$$

$$
C_{lost} = d_{0k} \times uc_{vtol} \tag{4}
$$

$$
R = r_{ev} - C_{trip} \tag{5}
$$

# **4 RESULTS AND ANALYSIS**

During the preliminary synthetic systematic experimentation, a GenAir framework simulated the evacuation of 650 patients. The study evaluated various VTOL deployment scenarios to determine the number needed for an effective evacuation, considering different travel speeds and evacuation proportions. The analysis calculated the number of patients evacuated per VTOL and measured VTOL idle times to optimize resource utilization. Results, depicted in Figures 4 and 5, highlight the outcomes of over one million timesteps for scenarios with varying VTOL speeds and evacuation requirements. This preliminary study did not account for weather conditions during VTOL dispatches and assigned patients to evacuation points without considering specific medical needs or bed availability at each location.

Table 1: Travel times of VTOLs from the evacuated hospital to each evacuation point.



Simulation parameters include a total flight time, including a 2-minute boarding period during which VTOLs also charge, thus eliminating the need for additional downtime. Each VTOL is designated to transport one hospital bed, a patient, and a doctor. The arrival rate of patient evacuation requests is modeled using a Poisson distribution reflective of hospital capacity and urgency of evacuation, with variability introduced during peak traffic periods to simulate realistic emergency conditions. The simulation penalizes delays beyond a 20-minute wait time, simulating the urgency of evacuation, and rewards successful patient transfers to one of four pre-determined inland hospitals. The model was iteratively tested over one million timesteps. The designated evacuation targets were marked as Evacuation Points 1, 2, 3, and 4 as in Figure 2. Table 1 summarizes the travel times of VTOLs from the evacuated hospital to evacuation points.



Simulation Results from 1 \* 10<sup>6</sup> timesteps for 50 VTOLs, 150mph, and 70% Patient Evacuation

Figure 4: Simulation results for 50 VTOLs, 150mph, and 70% evacuation requirement.





## Simulation Results from  $1*10^6$  timesteps for 30 VTOLs, 150mph, and 70% Patient Evacuation

Figure 5: Simulation results for 30 VTOLs, 150mph, and 70% evacuation requirement.

The primary objective of this preliminary study was to determine the optimal number of VTOLs needed to meet incoming evacuation requests efficiently while minimizing idle time and maximizing evacuation completion rates. Our analysis used VTOL speeds of 60 mph, 150 mph, and 300 mph to evaluate performance under hypothetical weather conditions and airspace traffic, although these factors were not explicitly incorporated into the simulations. In addition, the required proportion of evacuations varied from 70% to 100% evacuation requirement for an evaluation of the framework's performance in dynamic, realtime scheduling scenarios when integrated with real-time Healthcare Information Systems (HIS) and meteorological sensors data.



Table 2: Simulation results for the number of VTOLs and percentage of patients evacuated given different speeds and evacuation percentage requirements.

Table 2 presents the simulation outcomes from the GenAir case study across various scenarios. In simulations requiring a 70% evacuation proportion, deploying 50 VTOLs at an average speed of 150 mph achieved a 100% success rate, compared to only 85% with 30 VTOLs. Although the larger fleet increased service levels by 15%, it also raised the average VTOL idle time from 34% to 55%. Given the critical nature of hospital evacuations, where timely service can be lifesaving, deploying 50 VTOLs was necessary to meet the needs of this scenario. For a 100% evacuation requirement, using 30 VTOLs resulted in at least 80% patient evacuation, with idle times up to 25%. While not tested, increasing the fleet to 50 VTOLs is expected to similarly improve outcomes, as indicated by the 70% evacuation level results. The simulations suggest that a fleet of 50 VTOLs would likely suffice for evacuation proportions ranging from 70% to 90%, achieving over 90% evacuation success at an 85% requirement. Future studies could incorporate acquisition and operational costs to refine the determination of optimal fleet size for varying evacuation percentages.

## **5 CONCLUSIONS AND FUTURE WORK**

Our study has effectively demonstrated the transformative potential of Urban Air Mobility (UAM), specifically utilizing Vertical Take-Off and Landing Vehicles (VTOLs) for enhancing emergency evacuations in extreme weather conditions. The GenAir framework, leveraging generative artificial intelligence models such as Generative Adversarial Networks (GANs), has created realistic simulation environments to evaluate VTOL deployment in disaster scenarios. These simulations have shown that VTOLs can significantly mitigate the limitations of traditional ground-based evacuation methods by providing rapid, flexible, and efficient transport alternatives, particularly in densely populated urban areas.

The future integration of Geographic Information Systems (GIS), real-time meteorological data, and real-time Healthcare Information Systems (HIS) will further enhance the predictive capabilities of the GenAir framework, facilitating more precise and timely responses to emergency evacuation situations. This approach will improve the safety and efficiency of evacuations, ensure better resource utilization, reduce operational delays, and optimize the real-time scheduling and routing of VTOLs during extreme weather emergency evacuation (EWEE) scenarios.

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